



National Taiwan University of Science and Technology

2021 Winter Program

FIN 370 Financial Markets and Institutions

Course Outline

Course Code: FIN 370

Instructor: Islam Rizvanoghlu

Home Institution: University of Houston

Office Hours: TBA and by appointment

Email: irizvanoghlu@uh.edu

Credit: 4

Course Description

This course introduces and analyzes both domestic and global financial markets and institutions. It will cover financial intermediaries such as commercial banks, credit unions, investment banks and the roles that each intermediary play in domestic and global financial markets. Additionally, various types of financial instruments available in markets will be surveyed. Furthermore, we will discuss the nature of financial market regulation and monetary policy. Current events related to course topics will be heavily discussed throughout the course.

Course Textbooks

Saunders and Cornett, (8th Edition) *Financial Markets and Institutions*, McGraw Hill.

Detailed Course Requirements

- **Exams:** There will be Midterm and Final Exam. Each exam will make 40% of your grade. Exams will not be cumulative.
- **Problem Sets:** I will post 2 homework assignments. I will not accept late submissions. The problem sets will make 20% of your grade.



Detailed Weekly Course Schedule

Week 1

Chapter 1: Introduction

Chapter 2: Determinants of Interest Rates

Chapter 3: Interest Rates and Security Valuation

Chapter 4: The Federal Reserve System, Monetary Policy, and Interest Rates

Homework 1

Week 2

Chapter 5: Money Markets

Chapter 6: Bond Markets

Chapter 7: Mortgage Markets

Chapter 8: Stock Markets

Midterm Exam

Week 3

Chapter 9: Foreign Exchange Markets

Chapter 10: Derivative Securities Markets

Chapter 11: Commercial Banks: Overview

Chapter 13: Regulation of Commercial Banks

Homework 2

Week 4

Chapter 14: Savings Institutions, Credit Unions, and Finance Companies

Chapter 15: Insurance Companies

Chapter 16: Securities Firms and Investment Banks

Final Exam

Grading System

A+:4.3——95-100

A :4.0——87-94

A -:3.7——82-86



B+:3.3——78-81

B :3.0——75-77

B -:2.7——71-74

C+:2.3——68-70

C :2.0——65-67

C -:1.7——61-64

D :1.0——55-60

E :0.0——49-54

X :0.0——0

